

Audit Review and Evaluation of Jemison Metals

3800 Colonnade Parkway, Suite 250
Birmingham, AL 35242

Date of Audit: 12/22&27/22

Auditor(s): Patrick V. Macias – Quality Manager-Eastern Division

1.0 Summary

This internal audit review provided an evaluation of Jemison Metals' current level of conformance to ISO 9001, against the current internal audit schedule. The audit measured how effectively the quality management system is implemented in conformance with the applicable requirements and standards (see audit areas underlined in 2.2 below). **Based upon my review, the QMS is effectively implemented.**

6 Planning

QMP 6.3 Planning of Change. Date 8/31/18 Rev A

Scope that covers QMS changes that could affect the integrity of the QMS, resources, responsibilities, and authorities.

References QMP 8.4 New Supplier Evaluation & Approval based on the scope requirements and Section 5.1 reference to modifications of raw material providers.

7 Support

QMP 7.5 Documented Information, date 11/1/18 Rev B Reviewed.

Reviewed JDM-F-050 (7.9.19 Rev 1) Supplier Scorecard.

Reviewed PCF form JDM-F-200 (8/30/18 Rev 1)

8 Operation

QMP 8.1 Award Review, Date 7/20/18 Rev Original

Reviewed contract review for Laser Form 12/8/22 for 39 sheet parts.

All appropriate company functions were represented, and contract review documents were available on call invite.

QMP 8.4 New Supplier Evaluation and Review, Date 7/09/18 Rev Original,

Scope covers new Supplier that that can affect Jemison's product Quality.

8.7 Control of Nonconforming Product

QMP 8.7 Control of nonconforming outputs. MRB meets first available Friday of each month, via conference call, to insure appropriate review and disposition of all nonconforming product. Disposition is a function of the QMR and Material Management. The MRB from 12/2/22 was reviewed. The 14 day or older reject warehouse is down 68% from the years high mark in September.

The mill claim warehouse is down 41% since the high mark in September.
(Evidence available in electronic copy)

9 Performance Evaluation

9.2 Internal Audits

Internal, Process, and Dock audits were reviewed. YTD totals are as follows

CLV - 2 Process, 1 Internal

DEC - 6 Process, 1 Internal

GAD - 6 Process, 1 internal

LYN - 9 Process, 1 Internal

SMT - 4 Process

SM2 – 34 Dock audits, 1 Process audit

Corporate-1 Internal audit 9/27/21

1. Reviewed: OBS1 – Closed. CA 22172 closed 12/21/21

10 Improvement

10.2 Nonconformity and corrective action

Corrective Action 22172 from internal audit: OBS1: “Some items on manager checklist are past due.”

Closed 12/21/21

COP 1 Sales, Quotation, (Contract/Review)

Reviewed contract review for Laserform 12/8/22 for 39 sheet parts.

All appropriate company functions were represented, and contract review documents were available on call invite.

COP 2 Production

On time delivery, and DPPM reports for the last year reviewed.

On time delivery YTD percentages as follows

JM companywide 75.7%

CLV: 96.1%

GAD/DEC: 61.1%

LYN: 87.7%

SMT: 87.7%

SM2: 63.3%

Goal 98%

(Document copy available upon request)

Breakout of monthly OTD for Fab locations:

October 2022:

GAD Processing: 90.68%
GAD Fab: 77.42%
LYN Processing: 83.22%
Lyn Fab: 86.15%
November 2022:
GAD Processing: 92.67%
GAD Fab: 76.61%
LYN Processing: 88.58%
Lyn Fab: 85.37%
(Document copy available upon request)

*“Fabrication has been the main drag on company OTD performance. We began reporting GAD & LYN-FAB separately in Q4 of 2019 to better understand what’s occurring. We will continue to report/monitor FAB separately, although it is combined into the above reports. The main causes of the low OTD numbers for Fabrication are related to:
1) Capacity Planning/Visibility, 2) Machine Downtime, 3) Strong Fab Growth”*

DPPM YTD
JM companywide 2821
CLV: 2670
GAD: 2414
DEC: 3311
LYN: 2120
SMT: 3160
SM2: 980
Goal <3,900
(Document copy available upon request)

SOP 1 Training

New hire training checkoff for David Phillip Smiling reviewed.
Sign offer letter, Acknowledgement of receiving new hire package and reading Jemison Metals Policies and Documents were all checked off. All job appropriate new hire training completed. ISO training completed but not documented. Dated 12/20/22
• OBS1: ISO training not documented on new hire checklist as complete, although completed.

SOP 2 Purchasing

Purchasing process reviewed. Reviewed Laserform and Mac from award PO 30015-1 and 30079-1, pulled all documentation (req, PO) from FIT and Stelplan systems. All required documentation available in system and correct to award review requirements.
(Document copy available)

SOP 3 Control of Monitoring & Test Equipment

No Monitoring or Test Equipment used at the Corporate Office.

MOP 1 Management Review

1/5/22 Management Review Minutes reviewed. Found to be in conformance.

Pages reviewed:

Status of actions from Previous reviews

Changes in External & Internal issues that are relevant to the QMS.

Opportunities for Improvement

Customer Feedback

Audit Results

Performance of external providers

(Document available in electronic copy)

2.0 Overview

2.1 Personnel Interviewed (Audit evidence found in the Audit Worksheets)

Martha Underwood / Donna Tyler Frank Mareno / Sam Curtis	Rick Rowland
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2.2 Audited Requirements

4 Context of the Organization 4.4 Quality Management System and its processes 5 Leadership 5.1 Leadership and commitment 5.1.2 Customer Focus 5.2 Policy 5.6 Management review 6 Planning 7 Support 7.1.1 General 7.2 Competence 7.1.3 Infrastructure 7.1.4 Environment for the operation of processes 7.1.5 Monitoring and measuring resources 7.5 Documented information	8 Operation 8.1 Operational planning and control 8.2 Requirements for products and services 8.3 Design and development of products and services (N/A) 8.4 Control of externally provided processes, products and services 8.5 Production and service provision 8.7 Control of nonconforming outputs 9 Performance evaluation 9.1 Monitoring, measurement, analysis and evaluation 9.1.1 General 9.1.3 Analysis and evaluation 10 Improvement
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	10.2 Nonconformity and corrective action
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2.3 Approvals/Sign Off
Patrick V. Macias, Lead Auditor/QM
Date 12/28/2022

Nonconforming Corrective Action Report (CAR) for Jemison Metals

CAR #	ISO 9001: 2015 Clause / Sub-Clause	Findings/Requirements	Observation	Minor	Major
OBS1	SOP 1	ISO training not documented on new hire checklist as complete, although completed. (Updated during audit, all other recent hire employee's checklist, checked and updated.)	X		